

Data protection statement

The protection of your personal data is very important to us. Therefore we process your data exclusively on the basis of the valid legal regulations (in particular the General Data Protection Regulation (GDPR), Data Protection Act, Telecommunications Act 2003). The following information provides you with an overview of the processing of your personal data by our company and your rights arising from the Data Protection Law.

Names and contact details of the legal entity responsible for the data processing

Centris Capital AG
Seilergasse 6, Top 5, 1010 Vienna
T: +43 1 512 84 90
F: +43 1 512 84 90 999
E: office@centris-capital.com

Which data are processed

- We process and use the personal data that we receive from you as a customer or potential customer. Personal data are any data that contain details of personal or material circumstances, such as name, address/other contact details (telephone number, email address), date of birth/place of birth, age, gender, social security number, nationality, marital status, legal capacity, employed/self-employed, credentials (e.g. ID data), authentication data, tax number, video recordings, voice recordings.
- When contracting or using our products or services, further personal data may be collected, processed and stored in addition to the above mentioned data, which essentially include information regarding knowledge and/or experience with securities, interest rate and currency products/investments. In addition, they may also include deposit and account information, information about your financial situation (e.g. financial circumstances, origin of assets), investment objectives, willingness to assume risks, documentation data (e.g. advisory protocol) as well as other data comparable with the categories mentioned.
- In addition, we also process personal data that we have legitimately gained from publicly available sources (such as the company register, the association register, the press, Internet, credit bureaus (e.g. KSV1870 Holding AG)).

Purposes of data processing and the legal bases

- For the fulfilment of contractual obligations pursuant to **Art. 6 para. 1 (b) of the GDPR**: The processing of personal data is carried out for the provision of our services in the context of the execution of contracts with our customers, cooperation partners and suppliers or to carry out pre-contractual measures.

- Due to the legal requirements pursuant to **Art. 6 para. 1 (c) of the GDPR** or in the public interest pursuant to **Art. 6 para. 1 (e) of the GDPR**: We are subject to various legal requirements (Securities supervision act, Financial market money laundering act, Banking law or the relevant tax laws) or the supervision of the Financial market supervisory authority. The purposes of data processing include identity checks, fraud and money laundering prevention and the recording of telephone conversations for the purpose of evidence.
- In the context of **Art. 6 para. 1 (f) of the GDPR**: Insofar as it is necessary, we process your data beyond the actual fulfilment of our contract with you for the protection of legitimate interests of us or third parties (e.g. by the recording of phone calls for the purpose of evidence).
- On the basis of your consent pursuant to **Art. 6 para. 1 (a) of the GDPR**: If you have given your consent to the processing of your personal data for specific purposes (such as promotional purposes, marketing & business development and disclosure of your data), the lawfulness of this processing and transmission of data is justified based on your consent.

Recipient categories

Within Centris Capital AG, those employees or positions gain access to your data who need them to fulfil our contractual and legal obligations. For this purpose, service providers (e.g. IT service providers, banks, service companies) employed by us as well as authorities and our contractors (e.g. tax consultants, legal representatives, auditors, notaries, insurance companies, internal auditors, compliance officers) may also receive the data. In all cases, the data transfer shall take place only on the basis of legal provisions and/or your consent thereto.

Period of storage

In principle, personal data is stored by us as long as it is necessary for the fulfilment of our contractual and legal obligations. Further processing or storage of personal data is required in all cases for 7 years in order to comply with the statutory retention periods, additionally until the termination of any litigation, or ongoing warranty or guarantee periods. If your consent is the basis for the data processing, the data shall be stored by us until you revoke your consent.

Insofar as our customers and service providers are legal entities, the personal data of the authorised representative bodies shall be stored as long as the respective person acts as an authorised representative.

Data protection rights

As the person concerned, you always have the right to information about your stored personal data, their origin and recipient and the purpose of the data processing as well as the right to rectification, data portability, objection to data processing, restriction of data processing or deletion. To exercise your rights, please contact us.

The consent granted for the processing of personal data can be revoked at any time. This also applies to declarations of consent which were issued before 25 May 2018. In all cases, please note that we can not provide you with information about our products and services without this data. For a revocation, please contact office@centris-capital.at via email. Please also note that a revocation is only for the future and therefore does not affect the processing of your personal data until now.

As the person concerned, you also have the right at any time, to object to the processing of your personal data pursuant to Article 6 para. 1 (e) or (f) of the GDPR for reasons arising from your particular situation. In this case, we shall no longer process your personal data unless we can demonstrate compelling legitimate grounds for their processing that outweigh your interests, rights and freedoms, or the processing is for the purposes of asserting, exercising or defending legal claims. The objection can be made free of charge via email to office@centris-capital.at.

If you believe that the processing of your personal data violates data protection law or your data protection claims have otherwise been violated in any way, you have the possibility to complain to the competent supervisory authority. In Austria, the Data protection authority is responsible for this.

Obligation to provide data

In the context of our business relationship, you must provide us with the personal data that is necessary for the commencement and performance of our contractual obligations or for the removal of which we are obligated by law. Without this information we can not fulfil our contractual obligations concerning you.

In particular, according to the money laundering regulations, we are obligated to identify you prior to the provision of our services or fulfilment of our contract with you, for example by means of your identity card, and to collect and record further data such as your name, place of birth, date of birth, nationality and residence. In order to comply with these legal obligations, you must provide us with the necessary information and documents, as well as notifying us of changes to this data and information in the context of our business relationship. If you do not provide us with the necessary information and documents, we are not permitted to commence or continue a business relationship with you.